E UNITED STATES PATENT AND TRADEMARK OFFICE

C#

2815

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Atty Dkt. 900-397

In re Patent Application of

ENDOH et al.

Serial No. 09/925,952

Filed: August 10, 2001

Title:

Examiner: Nguyen, J.

C/A.U.

Date: May 3, 2005

A SEMICONDUCTOR MEMORY AND ITS PRODUCTION PROCESS

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

## RESPONSE/AMENDMENT/LETTER

This is a response/amendment/letter in the above-identified application and includes an attachment which is hereby incorporated by reference and the signature below serves as the signature to the attachment in the absence of any other signature thereon.

-	Ш	Correspo	ndence	Address	Indication	Form Attac	hed.
			_				

Fees a	re at	tached	as ca	lcula	ted be	elow:
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Total effective claims after amendment minus highest number

previously paid for x \$50.00 \$0.00 (1202)/\$0.00 (2202) \$ 20 (at least 20) =

minus highest number Independent claims after amendment

0 x \$200.00 \$0.00 (1201)/\$0.00 (2201) \$ previously paid for 3 (at least 3) =

If proper multiple dependent claims now added for first time, (ignore improper); add

\$360.00 (1051)/\$180.00 (2051) \$

\$130.00 (1814)/\$65.00 (2814)

**TOTAL FEE ENCLOSED \$** 

\$

180.00

Petition is hereby made to extend the current due date so as to cover the filing date of this

paper and attachment(s)

One Month Extension \$120.00 (1251)/\$60.00 (2251)

Two Month Extensions \$450.00 (1252)/\$225.00 (2252)

Three Month Extensions \$1020.00 (1253/\$510.00 (2253)

Four Month Extensions \$1590.00 (1254/\$795.00 (2254) \$

Applicant claims "small entity" status. ☐ Statement filed herewith

180.00 Rule 56 Information Disclosure Statement Filing Fee \$180.00 (1806) \$

\$40.00 (8021) Assignment Recording Fee

Other:

The Commissioner is hereby authorized to charge any deficiency, or credit any overpayment, in the fee(s) filed, or asserted to be filed, or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Account No. 14-1140. A duplicate copy of this sheet is attached.

1100 North Glebe Road, 8th Floor Arlington, Virginia 22201-4714 Telephone: (703) 816-4000

Terminal disclaimer enclosed, add

Facsimile: (703) 816-4100

JAR:caj

NIXON & VANDERHYE P.C.

By Atty: Joseph A. Rhoa, Reg. No. 37,515

Signature:

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

	OTPE					
In re Patent	Application of Application of Application of					
ENDOH et	<b>Y</b>	Atty. Ref.:	900-397			
Serial No.	09/925,952	TC/A/U.:	2815			
Filed:	August 10, 2001	Examiner:	J. NGUYEN			
	SEMICONDUCTOR MEMORY AND OCESS	ITS PRODUCTION				
	* * * * *	* * * * *				
		May 3, 2005	;			
P.O. Box 1	oner for Patents .450 a, VA 22313-1450					
Sir:						
	INFORMATION DISCLO	OSURE STATEMEN	<u>T</u>			
<u> </u>	PTO-1449 Pursuant to 37 CFR 1.9' [within 3 months of filing or prior to		N/C			
<u> </u>	Statement Pursuant to 37 CFR 1.95 [before Final Office Action and Allow		N/C			
⊠ 3.	Fee Payment Pursuant to 37 CFR 1 [before Final Office Action and Allow		\$180.00			
<b>4</b> .	Pursuant to 37 CFR 1.97(d) [before Issue Fee payment]		\$180.00			
The following are submitted in the above-identified application in compliance with 37						
C.F.R. §§ 1	.97 and 1.98:			952	180.00 OP	
	A list of documents on Form PTO-1 identified document and a translation English language document (or fore	n or a concise explanat	ion of each non-	EYENE1 00000091 09925952		
This paper	is submitted in accordance with:			EYENE1		

37 CFR 1.97(b): [within 3 months of filing or prior to 1st Office Action]

6.

1 FC:1806

$\boxtimes$	7.	37 CFR 1.97(c): [before Final Office Action and Allowance, whichever is earlier]; and
		a) The required Statement made in item 9 below; or
	$\boxtimes$	b) The \$180.00 fee specified in 37 CFR §1.17(p) for submission of this Information Disclosure Statement is submitted herewith.
	8.	37 CFR §1.97(d): [before issue fee payment]; and
		a) The fee (\$180.00) required by 37 CFR \$1.17(p) is authorized in item 10 below; and
		b) The required Statement is stated in item 9 below.
	9.	Statement under 37 CFR 1.97(e)
		a) The undersigned attorney of record hereby certifies under 37 C.F.R. §1.97(e) that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement (each item contained in this IDS was the first citation of that item by a foreign patent office in a counterpart foreign application which occurred no more than three months prior to the filing of this IDS); or
		b) No item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this Statement, after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this Information Disclosure Statement.
	10.	Please charge all deficiency fees associated with the submission of this Information Disclosure Statement and any other fees applicable to this application to Deposit Account No. 14-1140. An original and one (1) copy of this document are enclosed.
		Respectfully submitted,
JAR:caj		By:  Joseph A. Rhoa Reg. No. 37,515

- 2 -

ENDOH et al. Serial No. 09/925,952

1100 North Glebe Road, 8th Floor Arlington, VA 22201-4714

Telephone: (703) 816-4000 Facsimile: (703) 816-4100

## Sheet 1 of 1

INFORMATIO	N DISC	LOSU	RE
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ATTY, DOCKET NO.

900-397 APPLICANT

MAY 0 3 2005

SERIAL NO.

09/925,952

ENDOH et al.

	(Use several sheets if necessary)	FILING DATE		GROUP			
		August 10, 2001	I	2815			
		U.S. PAT	ENT DOCUMENTS				
EXAMINER	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING IF APPRO	DATE OPRIATE
	6,870,215	3/2005	Endoh et al.	<u> </u>			
	6,727,544	4/2004	Endoh et al.				
	6,593,231	7/2003	Endoh et al.		·		
	US 2004/0238879	12/2004	Endoh et al.				
	US 2002/0195668	12/2002	Endoh et al.				
	US 2002/0036308	3/2002	Endoh et al.				•
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	DOCUMENT	DATE	COUNTRY	CLASS	SUBCLASS	YES	NO
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	OTHER DO	CUMENTS (includi	ng Author, Title, Date, P	ertinent pages,	etc.)		
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xamine	r		Date Considere	2d		<del></del>	
-^41111116			ce with MPEP 609: Draw line through				

Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to application.

Form PTO-FB-A820 (Also PTO-1449)